

Financial Monitoring Transactions Report (FM-1 form)

Item #	Title	Content
1	FM-1 form information	
1.1	FM-1 form number*	
1.2	FM-1 form date*	
1.3	Type of FM-1 form (check only one that apply)*	<ol style="list-style-type: none"> 1. New report 2. Corrected of earlier declined report (earlier declined report number) 3. Request for report exchange (changing report number)
1.4	Transaction condition (check only one that apply)*	<ol style="list-style-type: none"> 1. Completed (time of the transaction completion) 2. Continuing (time when transaction started) 3. Terminated (time of the transaction termination) 4. Not completed
1.5	Repot category (check only one that apply)*	<ol style="list-style-type: none"> 1. Equal or exceed a threshold amount 2. Suspicious transactions: <ol style="list-style-type: none"> a. having no obvious economic sense; b. aiming to avoid the financial monitoring procedures stipulated by the Law; c. having a reason to believe that the transaction is aimed to financing of terrorism and/or extremism
2.	Reporting business information	
2.1	Reporting business code*	
2.2	Reporting business name*	
2.3	Tax payer number (RNN)*	
2.4	Identification number Business identification number	

* mandatory

2.5	Address*	<ol style="list-style-type: none"> 1. County: 2. District: 3. City/town: 4. Street/avenue/micro-district: 5. House: 6. Apartment/room (if applicable): 7. Zip code:
2.6	Identification document (for individuals)*	
2.6.1	Identification document number (for individuals)*	<ol style="list-style-type: none"> 1. Number: 2. Series (if applicable):
2.6.2	Issuing state body (for individuals)*	
2.6.3	Identification document issuing date (for individuals)*	
2.7	Name of responsible AML/FT employer	<ol style="list-style-type: none"> 1. Surname: 2. Name: 3. Middle name (if applicable):
2.7.1	Occupation of responsible AML/FT employer	
2.8	Telephone number*	
2.9	E-mail	
3	Financial Monitoring Transaction Information	
3.1	Transaction number *	
3.2	Transaction code*	
3.3	Financial services code (EKNP)*	<ol style="list-style-type: none"> 1. Code: 2. Not applicable
3.4	Transaction participants quantity*	
3.5	Currency name code*	
3.6	Amount in currency checked in 3.5*	
3.7	Amount in KZT*	
3.8	Reason to conduct a transaction*	

* mandatory

3.9	Date and number of a document that proves a reason to conduct the	<ol style="list-style-type: none"> 1. Date: 2. Document's number:
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	transaction	
3.10	1-st suspicion code	
3.11	2-nd suspicion code	
3.12	3-d suspicion code	
3.13	Difficulties appeared in qualifying between suspicion categories	
3.14	Additional information on transaction	
4	Transaction Participants Information	
4.1	Participant category(check only one that apply)*	<ol style="list-style-type: none"> 1. Payer 2. Receiver 3. Payer's representative 4. Receiver's representative 5. Individual on behalf of payer/receiver 6. Beneficiary
4.2	Client of the Money service Business*	<ol style="list-style-type: none"> 1. No 2. Yes
4.3	Participant role*	
4.4	Citizenship*	
4.5	Participant type (check only one that apply)*	<ol style="list-style-type: none"> 1. Corporate 2. Individual 3. Entrepreneur
4.6	PEP (check only one that apply)*	<ol style="list-style-type: none"> 1. No 2. Yes 3. Affiliated to PEP
4.7	Bank requisites*	<ol style="list-style-type: none"> 1. Participant's bank account number: 2. Bank/branch name: 3. Bank/branch code: 4. Bank/branch location: 5. Correspondent bank requisites: <ol style="list-style-type: none"> a. Account number: b. Name: c. Code: d. Country:

* mandatory

4.8	Participant legal name (for corporate)*	1. Name: 2. Unknown
4.9	Stock holders (for corporate)	1. Name: 2. Citizenship:
4.10	Director's name (for corporate)	
4.11	Tax payer's number	
4.12	Type of business (OKED)	
4.13	Identification number/Business identification number	
4.14	Full name (for individuals and entrepreneurs)*	1. Full name: 2. Unknown
4.15	Identification document (for individuals and entrepreneurs)	
4.16	Identification document number (for individuals and entrepreneurs)	1. Number: 2. Series (if applicable):
4.17	Issuing state body (for individuals and entrepreneurs)	
4.18	Identification document issuing date (for individuals and entrepreneurs)	
4.19	Date of birth (for individuals and entrepreneurs)	
4.20	Place of birth (for individuals and entrepreneurs)	
4.21	Legal address	1. Country: 2. County: 3. District: 4. City/town: 5. Street/avenue/micro-district: 6. House: 7. Apartment/room (if applicable):
4.22	Telephone number	
4.23	Email	
4.24	Address	1. Country:

* mandatory

		2. County: 3. District: 4. City/town: 5. Street/avenue/micro-district: 6. House: 7. Apartment/room (if applicable):
4.25	Additional participant information	

* mandatory